



Commonwealth of Kentucky Public Protection Cabinet

Department of Financial Institutions

Matthew G. Bevin, Governor

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Charles A. Vice, Commissioner

FOR IMMEDIATE RELEASE

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Gibson to Oversee Securities in Kentucky

Division Regulates Investment Firms and Professionals

FRANKFORT, Ky. (June 1, 2018) – Marni Rock Gibson is returning to her Department of Financial Institutions (DFI) roots as she assumes the role of Securities Division Director, overseeing supervision of securities firms and professionals operating in Kentucky.

Gibson joined DFI's Securities Enforcement Branch in March 2009 as a securities examiner. She had a successful record of protecting investors and holding fraudsters accountable for harming Kentucky residents. She also was an active member of the North American Securities Administrators Association Investor Education Alerts and Advisories Project Group. In March 2015, she became the Securities Enforcement Branch Manager.

In August 2016, Gibson's management skills were recognized as she was appointed Depository Division Director, overseeing state-chartered banks and credit unions in Kentucky.

"Marni has provided excellent leadership during her tenure as the Depository Division Director. Her knowledge of the securities industry and management skills will be beneficial to the Securities Division and the entire Department," said DFI Commissioner Charles Vice.

Prior to joining DFI, she held positions in the securities and banking industries, where she served as a relationship banker and a branch manager.

Gibson earned a bachelor's degree – with a double major in economics and business administration with a finance emphasis – from Transylvania University. She also holds a Master's in Business Administration.

"I've enjoyed working in bank and credit union supervision and gained expertise I hope will benefit the Securities Division," Gibson said. "I look forward to returning my focus to investor protection and securities regulation."

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DFI, <http://kfi.ky.gov>, is an agency in the Public Protection Cabinet. For more than 100 years, it has supervised the financial services industry by examining, chartering, licensing and registering various financial institutions, securities firms and professionals operating in Kentucky. DFI's mission is to serve Kentucky residents and protect their financial interests by maintaining a stable financial industry, continuing effective and efficient regulatory oversight, promoting consumer confidence, and encouraging economic opportunities.